

DUTY HOLDERS' INFORMATION CHART

Gateway 1 (Planning Permission) – This came into force for new developments from August 1, 2021

The Developer	<p>Fire Statement addressing:</p> <ul style="list-style-type: none"> • Information about fire service access and water availability; • detail of the fire strategy for the building (eg ADB or fire engineering); • Details to demonstrate whether the building is likely to need an EWS1, eg balconies, cladding systems; and • Details of the evacuation strategy and fire suppressions systems. <p>The planning application and fire statement and associated guidance is here: Planning application and fire statement forms: templates - GOV.UK (www.gov.uk)</p>
----------------------	---

Gateway 2 (Pre-construction)

Client, Principal Designer and Principal Contractor	Demonstration of competence of Principal Contractor and Principal Designer.
Principal Contractor	Construction Control Plan setting out how compliance will be achieved during construction and change control procedures.
Principal Designer	<ul style="list-style-type: none"> • Full plans with detailed specification in relation to fire and structural safety and how risks will be managed • 3D digital model of the building "as planned" including products to be used • Fire and Emergency File building on the Fire Statement

During construction

Principal Designer/Principal Contractor	<ul style="list-style-type: none"> • Notification to HSE and pre-approval of any safety-critical changes to design • Mandatory occurrence reporting procedure
Principal Contractor	<ul style="list-style-type: none"> • Updates to the Fire and Emergency File building on the Fire Statement during construction

Gateway 3 (Completion)

Client, Principal Designer and Principal Contractor	<ul style="list-style-type: none"> • Declaration of compliance with Building Regulations
Principal Contractor/Principal Designer	<ul style="list-style-type: none"> • As-built Gateway 2 building safety information
Principal Accountable person	<ul style="list-style-type: none"> • Registration of the building, the accountable person, and appointed building safety manager • Safety case (relies on having as-built building safety information) • Resident engagement strategy • Mandatory Occurrence reporting system
HSE	<ul style="list-style-type: none"> • Building Assessment Certificate